

# Supplier Speak Up and Protected Disclosure Policy

## Purpose

Woolworths Group is committed to fostering an inclusive environment where our suppliers ('you', 'your') are a top priority at Woolworths Group. This is why we strive to create a supportive environment that ensures everyone can feel valued, respected and have the opportunity to thrive. One of our core values is that we 'always do the right thing' - by acting with integrity, honesty and trust at all times. Woolworths and its suppliers should act lawfully and ethically and in the best interests of the organisation, so if you see or experience something that's not right, it's important that you speak up.

We encourage you to raise concerns you have with your buyer or category manager. However, we understand you may not always want to raise matters that way for reasons including:

- suspected adverse consequences;
- an expectation your report may not be dealt with objectively;
- the matter has already been raised, but you think it has not been addressed appropriately; or
- you wish to remain anonymous

For that purpose, Woolworths has a Speak Up service, externally hosted by a third-party provider.

This policy explains how to make a Speak Up report, and what happens once you submit a report. It also explains the concept of a 'Protected Disclosure' for the most serious reports.

## Who does this policy apply to?

Our Supplier Speak Up service is available to any supplier, contractor<sup>1</sup>, or consultant, as well as their relatives and dependants, which provides goods or services to the Woolworths Group in Australia or overseas. This group is referred to as 'you' in this policy.

## What can you use Supplier Speak Up for?

You can use the Supplier Speak Up service to raise serious matters you don't feel comfortable raising through normal internal channels, some examples of such conduct include:

- Breach of the Food and Grocery Code of Conduct
- A breach of the law, including employment, workplace, discrimination, or safety laws
- Bullying, harassment (including sexual harassment), discrimination, or human rights and modern slavery issues
- Sanctioned activities, such as importing and exporting goods or dealing with sanctioned individuals and companies
- Improper use or disclosure of confidential information
- Dishonest or unethical behaviour, including conflict of interest
- A breach of Woolworths Code of Conduct
- Improper use or disclosure of confidential information
- Fraud, theft, bribery, 'kickbacks', money laundering, corruption, secret commissions
- Conduct that may cause harm to the public or the financial system (even if it does not involve a breach of particular law)
- Misconduct, in relation to Woolworths or one of its related entities.

To ensure that we can investigate reports in a fair and comprehensive manner, it's important that you have reasonable grounds for your concern, and that the information you provide points to a failure to comply with our code of conduct, values or applicable laws. Making knowingly false reports may lead to regulatory action.

---

<sup>1</sup> including workers, trolley collectors, and cleaners

## Protected disclosures

Some Supplier concerns may also be a "disclosable matter" in Australia under the "whistleblower protection" provisions of the Corporations Act 2001 (Cth) (Corporations Act) or Taxation Administration Act 1953 (Cth) (Tax Act) or may relate to "serious wrongdoing" in New Zealand under the Protected Disclosures (Protection of Whistleblowers) Act 2022 ("PDA"). If so, and you meet specific requirements, you may receive Page 1 of 13 additional protections under those laws, and your report is known as a "protected disclosure". Such disclosures should not be made through the Speak Up service. Please see Annexure A (for Australia) and Annexure B (for New Zealand) for information on protected disclosures and how to make them to Woolworths.

## Emergencies

In the case of any immediate threat to life or property, or should you or someone you know require emergency assistance, please contact your local emergency authorities, including police and ambulance services.

## Confidentiality and Anonymity

When making a Speak Up report (or a "protected disclosure") you may ask us to keep your identity confidential, or only disclose it for limited purposes. You are also provided with the option to remain anonymous. For example, you might wish to make a report using an email address that does not contain any identifying details, or use a pseudonym.

If you do identify yourself, we're committed to protecting your identity and maintaining confidentiality in line with this policy and applicable legislation - as well as preventing any victimisation of anyone making a Speak Up report. Any team member who discloses your identity inappropriately, or causes detriment, which results in victimisation and/or has adverse impacts on you, may face disciplinary action, up to and including termination.

The Speak Up system allows anonymous communication with you. During such conversations, you can choose not to answer any follow-up questions, including if you feel it could reveal your identity.

In some cases, choosing to remain anonymous or to have your identity kept confidential may limit Woolworths' ability to investigate and deal with the matter. Once we have received the report, you may choose to change your mind about confidentiality at any time, if that will assist in responding to and investigating the issues raised. It is your choice.

The information you provide (including your identity) will be stored securely in access-controlled systems, and will not be shared without your consent unless it is reasonably necessary for managing and investigating the issues raised in your report, or as is otherwise permitted (in limited circumstances) under the applicable legislation.

If you feel that you have been victimised, you may raise a new Speak Up report for this purpose, or contact Woolworths Group's Whistleblower Protection Officer (WPO) at [WPOfficer@Woolworths.com.au](mailto:WPOfficer@Woolworths.com.au). The WPO is independent of the investigation process, and equipped to review or escalate your concern.

## Report handling and investigation process

### ***When a report is received***

Once a report is received by our third party provider, you will receive acknowledgement. The report is allocated to Woolworths' Group Speak Up Manager or their delegate, who will determine applicable protections, triage, review, and allocation for investigation.

### ***Investigation process***

- We will investigate a supplier Speak Up report or a protected disclosure where sufficient information is provided to warrant an investigation.

- We may correspond with you through a third party provider if we have not received sufficient information. If you do not provide the information requested, this will limit our ability to conduct a formal investigation and we may not be able to take any further steps.
- The objective of an investigation is, in the first instance, fact-finding. Investigations endeavour to determine, fully and credibly, what happened with respect to a particular incident, based on the information provided – including whether suspected conduct did or did not take place; what the circumstances were; who was involved; whether a violation of law or company policy occurred.
- Investigations will follow fair and due process, without bias. They will typically be conducted by an investigator who is independent of the relevant matter disclosed, and will allow any person against whom allegations are made an opportunity to respond. The duration of a formal investigation will depend on the circumstances, including the number of allegations, witnesses amongst other factors.

**Investigation updates**

Once you have made a Speak Up report, periodic progress updates will be provided to you, as appropriate. The nature of these updates will depend on the circumstances. For example, we will not provide information that may compromise the investigation or the disclosure of confidential information.

**Notification of the investigation being closed**

You will be informed when the investigation has been completed. You will receive information regarding the investigation and findings as appropriate in the circumstance.

**How to make a Supplier Speak Up report**

Reports can be made via phone or in writing in 130 commonly spoken languages. (Note: phone reporting in some languages is subject to interpreter availability)

	<b>Australia</b> - 1800 772 173	<b>New Zealand</b> - 0800 393 76736	<b>Hong Kong</b> - 800-96-0016
	<b>Malaysia</b> - 1800 81 0010	<b>Bangladesh</b> - 0800-0000333	<b>Thailand</b> - 1800 012 655
	<b>India</b> - 000 800 0501 474	<b>Philipines</b> - 1800 1 322 0463	
	<b>NRS - Suppliers with hearing or speech difficulties</b> can contact Speak Up through the Australian National Relay Service (NRS) or New Zealand Relay		
	<b>Grocery Code reporting line</b> Australia - 1800 4GROCERY (1800 447623)		
	<a href="https://WoolworthsGroup.com.au/SpeakUp">WoolworthsGroup.com.au/SpeakUp</a>		
			

**Governance**

- The Chief Legal Officer (CLO) oversees the Supplier Speak Up program. Group Compliance supports the CLO and CPO including managing the external Speak Up provider and through advice and reports on the status and nature of issues being raised.
- The CLO, CPO, and other senior leaders including representatives from Group Compliance comprise the Speak Up Committee. It meets at least every six months to review the effectiveness of the service, to help ensure consistency in process and outcomes, and make relevant recommendations.
- This policy (including Annexures) is Woolworths Group Limited’s whistleblower policy for the purpose of the Corporations Act. It also applies to all related bodies corporate as defined in that Act.

**Where to get more information**

For more information on Speak Up visit the ‘issue resolution’ section on our partner hub on [partnerhub.woolworthsgroup.com.au](http://partnerhub.woolworthsgroup.com.au).

If you wish to seek additional information before formally making a report, including any further advice about protected disclosures in the Annexures, you may contact Woolworths Group’s Whistleblower Protection Officer (WPO) at [WPOfficer@Woolworths.com.au](mailto:WPOfficer@Woolworths.com.au).

<b>Policy Changes</b>	This policy may be changed at any time, and does not form part of any team member’s contract of employment.
<b>Date approved:</b>	June 2023
<b>Date effective:</b>	June 2023
<b>Accountable:</b>	Chief Legal Officer (CLO)
<b>Contact:</b>	<a href="mailto:GroupCompliance@woolworths.com.au">GroupCompliance@woolworths.com.au</a>
<b>Related policies:</b>	Team Member Speak Up policy
<b>Publishing</b>	This policy will be made available on the Woolworths Group Internet site and a link on the supplier portal ‘ <i>Partnerhub</i> ’

## Annexure A - Legal protections under the Australian Corporations Act and Tax Act

### Whistleblower protections for 'protected disclosures' - key facts

- Whistleblowers can identify and call out misconduct and improper affairs, and are provided special protections under legislation, including a right to anonymity and protection from victimisation
- Whistleblower protections apply when an **'eligible whistleblower'** makes a **'disclosable matter'** to someone who is **'authorised'** to receive the disclosure. The information in this annexure provides further detail about these important concepts.
- If you wish to make a disclosure as a whistleblower, we encourage you to direct your disclosure to a Protected Disclosure Officer (the Chief People Officer, Chief Legal Officer or Chief Financial Officer). More information about how you can make a protected disclosure and how to contact our Protected Disclosure Officers are set out in this annexure. Note that the Speak Up Service is not authorised to receive protected disclosures.
- If you make a protected disclosure that attracts whistleblower protections, we will manage the matter in accordance with the legal requirements.
- In addition to the notes below, you can find out more information about whistleblower protections on ASIC's website [here](#).

### Introduction

In Australia, you can make a "protected disclosure" if:

1. you are an "eligible whistleblower" (this is explained in Part A, paragraph 1. below);
2. you make a disclosure directly to a person or entity who is eligible to receive a protected disclosure (this is explained in Part A, paragraph 2 below); and
3. you have reasonable grounds to suspect your disclosure is about a "disclosable matter" (this is explained in Part A, paragraph 3 below).

Protected disclosures are also disclosures of information to a legal practitioner for the purposes of obtaining legal advice or legal representation about the operation of the whistleblower provisions in the Corporations Act and Tax Act.

Making a "protected disclosure" means that you will qualify for relevant legal protections under the Corporations Act or Tax Act (where applicable) from the time you make the disclosure, in addition to the protections that you would receive under this policy if you use supplier Speak Up. The protections apply even if you make your disclosure anonymously, and even if you or the recipient do not recognise that the disclosure qualifies for protection. The protections also apply if your disclosure turns out to be unsubstantiated, as long as you had reasonable grounds to suspect the subject of the disclosure at the time you made it.

Note that the protections do not grant immunity for any misconduct you have engaged in that is revealed in your disclosure or subsequently discovered.

### A. How do I make a protected disclosure?

The requirements for making a protected disclosure on or after 1 July 2019 under the Corporations Act or Tax Act are set out below:

#### 1. You must be an "eligible whistleblower"

An "eligible whistleblower" who may make a protected disclosure under the Australian whistleblower laws is anyone who is or has been in respect of an Australian Woolworths Group company:

- a. an officer;
- b. an employee;

- c. an individual who supplies goods or services, and employees of suppliers (whether paid or unpaid);
- d. an individual who is an associate of the company;
- e. either:
  - in relation to the Corporations Act, a spouse or relative, dependent, or dependent of a spouse of any of the above individuals; or
  - in relation to the Tax Act, a spouse, child, dependent, or spouse of a dependent, of any of the above individuals.

## 2. You must make the disclosure to someone authorised to receive a protected disclosure

The Speak Up service is **not** an authorised way of making a “protected disclosure”.

### Reporting within the Woolworths Group

Woolworths Group encourages protected disclosures to be made to one of the below appointed Protected Disclosure Officers in the first instance.

Title	Name	Email	Telephone
Chief People Officer	Caryn Katsikogianis	PD4CPO@woolworths.com.au	1800 PD4CPO (1800 734 276)
Chief Legal Officer	Bill Reid	PD4CLO@woolworths.com.au	1800 PD4CLO (1800 734 256)
Chief Financial Officer	Stephen Harrison	PD4CFO@woolworths.com.au	1800 PD4CFO (1800 734 236)

However, if you feel uncomfortable reporting a matter to one of the above persons, protected disclosures may also be made to Woolworths Group through one of the following in person, via email or telephone:

- a. an officer or senior manager of an Australian Woolworths Group company;  
*Note: ‘officers’ include a Woolworths director or company secretary;  
A ‘senior manager’ is a person whose decisions affect at least a substantial part of Woolworths, or have the capacity to significantly affect Woolworths’ financial standing.*
- b. an auditor, or a member of an audit team conducting an audit, of a Woolworths Group company; or
- c. an actuary of a Woolworths Group company.

In addition to the above, for disclosures under the Tax Act:

- any other employee or officer of a Woolworths Group company who has functions or duties that relate to the tax affairs of the Woolworths Group company; or
- a registered tax agent or BAS agent who provides tax agent services or BAS services to a Woolworths Group company.

The Speak Up service is **not** an authorised way of making a protected disclosure.

### Reporting outside of the Woolworths Group

While Woolworths’ encourages eligible whistleblowers to make disclosures internally, an eligible whistleblower may also make a protected disclosure under the Corporations Act to the Australian Securities and Investments Commission (ASIC), the Australian Prudential Regulation Authority (APRA) or a prescribed Commonwealth authority.

An eligible whistleblower may also make a protected disclosure under the Tax Administration Act to the Commissioner of Taxation.

The Speak Up service is **not** an authorised way of making a protected disclosure.

### **Disclosures to legal practitioners**

Under the Corporations Act and Tax Act, disclosures of information to legal practitioners in order to obtain legal advice or legal representation in relation to the whistleblower provisions in that legislation may also be protected disclosures.

### **Public interest disclosures**

There is an additional category of disclosures called 'public interest disclosures' that qualify for protection under the Corporations Act only. These can be made to journalists and members of Parliament, but only if the eligible whistleblower complies with the following strict requirements:

- a. the eligible whistleblower must have first made a qualifying disclosure under the Corporations Act to ASIC, APRA, or a prescribed Commonwealth authority;
- b. at least 90 days has passed since the qualifying disclosure was made;
- c. the eligible whistleblower does not have reasonable grounds to believe that action is being, or has been, taken to address the matters to which the qualifying disclosure related;
- d. the eligible whistleblower has reasonable grounds to believe that making a public interest disclosure would be in the public interest;
- e. after 90 days has passed, the eligible whistleblower must give the body to which the qualifying disclosure was originally made, a written notification that:
  - i. includes sufficient information to identify the qualifying disclosure; and
  - ii. states that the eligible whistleblower intends to make a public interest disclosure; and
- f. the extent of the information disclosed in the public interest disclosure is no greater than to inform the journalist or member of Parliament of the misconduct or improper state of affairs or circumstances, or other conduct falling within the scope of the Whistleblower Protection Scheme.

### **Emergency disclosures**

There is an additional category of disclosures called 'emergency disclosures' that qualify for protection under the Corporations Act only. These can be made to journalists and members of Parliament, but only if the eligible whistleblower complies with the following strict requirements:

- a. the eligible whistleblower must have first made a protected disclosure under the Corporations Act to ASIC, APRA or a prescribed Commonwealth authority;
- b. the eligible whistleblower has reasonable grounds to believe that information concerns a substantial and imminent danger to the health or safety of one or more persons or to the natural environment;
- c. the eligible whistleblower gave notice to the body to which the qualifying disclosure was made that states:
  - i. that they intend to make an emergency disclosure; and
  - ii. includes sufficient information to identify the qualifying disclosure; and
- d. the extent of the information disclosed in the emergency disclosure is no greater than is necessary to inform the journalist or member of Parliament of the substantial and imminent danger.

Before making a public interest or emergency disclosure, it is important that an eligible whistleblower understands the criteria for protection under the relevant legislation.

## **3. Your disclosure must be about a "disclosable matter"**



Only disclosures of certain types of information will qualify for protection under the Australian whistleblower laws.

Information is a "disclosable matter" under the Corporations Act if the eligible whistleblower has reasonable grounds to suspect that the information disclosed:

- a. concerns misconduct or an improper state of affairs or circumstances in relation to a Woolworths Group company, in circumstances where misconduct may include
  - a. illegal conduct by Woolworths Group, such as theft, dealing in, or use of illicit drugs, violence or threatened violence, and criminal damage against property;
  - b. fraud, money laundering or misappropriation of funds;
  - c. offering or accepting a bribe;
  - d. financial misstatement or irregularities;
  - e. failure to comply with, or breach of, legal or regulatory requirements; and
  - f. engaging in or threatening to engage in detrimental conduct against a person who has made a disclosure or is believed or suspected to have made, or be planning to make, a disclosure.
  - g. negligence,
  - h. breach of trust and breach of duty (not just the personal conduct of an individual); or
- b. indicates that a Woolworths Group company or any employee or officer has engaged in conduct that:
  - i. constitutes an offence against, or a contravention of, a provision of any of the following:
    - the Corporations Act;
    - the Australian Securities and Investments Commission Act 2001;
    - the Banking Act 1959;
    - the Financial Sector (Collection of Data) Act 2001;
    - the Insurance Act 1973;
    - the Life Insurance Act 1995;
    - the National Consumer Credit Protection Act 2009;
    - the Superannuation Industry (Supervision) Act 1993;
  - ii. constitutes an offence against any other law of the Commonwealth that is punishable by at least 12 months' imprisonment;
  - iii. represents a danger to the public or the financial system; or
  - iv. is prescribed by any regulations made under the Corporations Act.

Except in certain circumstances, personal work-related grievances will not be protected under the Corporations Act unless they relate to victimisation (see section 3 of part B below).

A disclosure is a 'personal work-related grievance' if:

- a. it concerns the whistleblower's employment and has implications for them personally; and
- b. the information:
  - i. does not have significant implications for an Australian Woolworths Group company, or another regulated entity, that do not relate to the eligible whistleblower; and
  - ii. does not concern conduct, or alleged conduct, referred to at 3(b) above of this Annexure

Examples of personal work-related grievances that do not qualify for protection may include:

- the eligible whistleblower having an interpersonal conflict within the workplace;
- the eligible whistleblower (only) being bullied;
- the eligible whistleblower being inadvertently underpaid once;
- the eligible whistleblower being subjected to discipline or not receiving a promotion; or
- any matters that don't have significant implications for Woolworths Group as a whole, unless they relate to the eligible whistleblower being victimised for making a previous protected disclosure.



A personal work-related grievance may still qualify for protection if:

- it relates to a disclosable matter and a personal work related grievance (ie, it is a mixed disclosure); or
- the eligible whistleblower seeks legal advice or legal representation about the operation of the whistleblower protections under the Corporations Act.

Information is a “disclosable matter” under the Tax Act if:

- a. the eligible whistleblower has reasonable grounds to suspect that the information concerns misconduct or an improper state of affairs or circumstances in relation to tax affairs of a Woolworths Group company or an associate of a Woolworths Group company; or
- b. the eligible whistleblower considers that the information may assist the eligible recipient to perform functions or duties in relation to the tax affairs of a Woolworths Group company or an associate of a Woolworths Group company.

## **B. What are the legal protections that apply if I make a protected disclosure?**

If you have made a protected disclosure under the Australian whistleblower laws, the protections outlined in the Supplier Speak Up policy will apply as well as the following additional legal protections:

### **1. Protection from Legal Action**

Eligible whistleblowers who make a protected disclosure under the Australian whistleblower laws are protected from certain action in relation to having made the disclosure, including:

- any civil, criminal, and administrative (including disciplinary) action against the whistleblower; and
- contractual action, including termination of a contract on the basis that making a disclosure is a breach of that contract.

Any information that is disclosed as part of a protected disclosure to either:

- ASIC, APRA or a prescribed Commonwealth authority, under the Corporations Act; or
- The Commissioner of Taxation, under the Tax Administration Act,

will not be admissible in evidence against the whistleblower in criminal proceedings or in proceedings for the imposition of a penalty, except for proceedings in respect of the falsity of the information.

### **2. Protection of your identity**

If you make a protected disclosure, and in doing so, reveal your identity (or information by which you can likely be identified), it is a legal offence for a person to disclose your identity or identifying information without your consent (subject to the exceptions set out below).

If an eligible whistleblower makes a protected disclosure, it is likely that the eligible whistleblower will be asked to provide consent to the disclosure of their identity. This would be to facilitate any investigation and/or resolution of the matter. If consent is withheld, it may not be possible to adequately investigate and respond (if at all) to the disclosure.

If an eligible whistleblower does not consent to their identity being disclosed, it will still be lawful to disclose their identity to:

- ASIC, APRA, the AFP or the Commissioner of Taxation (in relation to protected disclosures under the Tax Act);
- a legal practitioner for the purposes of obtaining legal advice or legal representation about the disclosure; or
- a body prescribed by the Corporations Regulations.

It will also be lawful to disclose information (other than your identity) which you share that may lead to your identity becoming known if it is reasonably necessary in order to investigate the issues raised, in which case Woolworths will take all reasonable steps to protect your identity.

ASIC, APRA or the AFP can disclose the identity of an eligible whistleblower, or information that is likely to lead to the identification of the eligible whistleblower, to a Commonwealth, State or Territory authority to help the authority in the performance of its functions or duties.

As set out in the body of the Policy, disclosures can be made anonymously. Such disclosures are still capable of being protected under the Corporations Act and Tax Act.

Woolworths Group seeks to protect the confidentiality of an eligible whistleblower's identity, including by:

- storing information about a disclosure securely;
- redacting the whistleblower's identity from relevant documents; and
- only sharing the whistleblower's identity with those who have a legitimate need to know, subject to the consent provided by the whistleblower.

### 3. Prohibition against victimisation

It is a legal offence for a person or a company to:

- engage in any conduct that causes, or will cause, any detriment; or
- make a threat to cause any detriment (whether express, implied, conditional or unconditional),

to an eligible whistleblower or another person because the person engaging in the conduct believes or suspects that the other person or a third person made, may have made, proposes to make, or could make, a protected disclosure. This includes where such belief/suspicion was only part of the reason why the action is taken.

"Detriment" includes dismissal, disciplinary action, harassment, discrimination, property damage, reputational damage and other types of damage to a person. "Detriment" does not include administrative action that is reasonable to protect you from detriment (e.g. when the disclosure relates to wrongdoing in your immediate work area). Protecting you from detriment also does not prevent Woolworths Group from managing unsatisfactory work performance.

Each protected disclosure is assessed upon receipt to determine the risk of detriment against you and other persons mentioned in the report. Appropriate actions are taken to reduce this risk, and to ensure fair treatment of those mentioned in the disclosure, including respondents.

To protect you from the risk of detriment, eligible recipients have been trained to ensure they are aware of their responsibilities to seek to ensure your confidentiality and that you are not victimised for making the disclosure. Additionally, Woolworths Group may, on a case-by-case basis, allow you to perform your duties from another location or in another role at the same level, or make other modifications to your workplace or the way you perform your work duties, or reassign or relocate other staff involved in the disclosable matter.

Woolworths Group will investigate allegations of such behaviour, and penalties and/or disciplinary action may apply for engaging in any of the conduct referred to above. Any person involved in the contravention may be found liable. If detriment is found to have occurred, Woolworths Group may, on a case-by-case basis, allow you to take extended leave, develop an alternative career development plan, or offer compensation or other remedies.

If you believe you suffer, or are threatened with, detriment in contravention of the Australian whistleblower laws, you may

- raise a further protected disclosure by following the steps set out above
- raise a Speak Up report for this purpose (note, disclosures made to the Speak Up service are not protected disclosures),

- contact Woolworths' Whistleblower Protection Officer (WPO) on WPOfficer@Woolworths.com.au. The WPO is independent of the investigation process, and equipped to review or escalate your concern.

### Court Orders

Courts are given broad scope to make orders remedying a detriment or threatened detriment. These include injunctions, compensation orders (including against individual employees and their employer), reinstatement, exemplary damages and the making of apologies. Civil and criminal sanctions also apply to breaches of the Corporations Act and Tax Act.

### C. Handling and investigating a disclosure

If a protected disclosure is made, it will be provided to the Woolworths Group Speak Up Manager or their delegate, subject to applicable confidentiality requirements.

Subject to the requirements of the Corporations Act and the Tax Act, protected disclosures will be investigated in the same way as other disclosures made under this Policy. The timeframe for investigations of protected disclosures will be different depending on the nature and scope required. However, Woolworths Group's intent is to complete an investigation as soon as practicable.

Where appropriate, Woolworths Group will report findings of an investigation to the Chief Legal Officer. The method for documenting and reporting the findings of an investigation will depend on the nature of the disclosure and the circumstances. Reporting of findings will have regard to applicable confidentiality requirements.

### Annexure B – Legal Protections in New Zealand

New Zealand has its own whistleblower legislation, the *Protected Disclosures (Protection of Whistleblowers) Act 2022 (PDA)*, which outlines how your disclosure of information may qualify as a 'protected disclosure' and what additional legislative protections you will receive if you make a protected disclosure in New Zealand.

#### Can I make a protected disclosure?

You can make a protected disclosure about Woolworths under the PDA if you are (or were formerly):

- an employee of Woolworths;
- a person seconded to Woolworths;
- an individual engaged by or contracted under a contract for services to do work for Woolworths;
- an individual who is concerned in the management of Woolworths; or
- a volunteer working for Woolworths, being a "Discloser".

#### Will my disclosure be protected under the NZ Legislation?

A disclosure of information is a "protected disclosure" under the PDA if the Discloser:

- believes on reasonable grounds that there is, or has been, serious wrongdoing in or by Woolworths; and
- discloses information about that in accordance with the PDA; and
- does not disclose it in bad faith.

"Serious Wrongdoing" includes any act, omission or course of conduct in or by Woolworths that is one or more of the following:

- an offence;
- a serious risk to public health, public safety, the health or safety of any individual or the environment;
- a serious risk to the maintenance of law, including the prevention, investigation and detection of offences and the right to a fair trial;
- an unlawful, a corrupt, or an irregular use of public funds or public resources;

- oppressive, unlawfully discriminatory, grossly negligent, or that constitutes gross mismanagement, and is done (or is an omission) by: an employee (if the organisation is a public sector organisation); or a person performing (or purporting to perform) a function or duty or exercising (or purporting to exercise) a power on behalf of a public sector organisation or the Government.

### How can I make a protected disclosure?

To make a protected disclosure within Woolworths, you must disclose information in the manner outlined by Woolworths in this Supplier Speak Up Policy. A Discloser can also make a protected disclosure to an appropriate authority at any time, including in circumstances where the Discloser has also made a protected disclosure to Woolworths or another appropriate authority.

The following individuals have been appointed as the main protected disclosure recipients for Woolworths New Zealand for the purposes of the PDA:

Title	Name	Email	Telephone
General Counsel, Woolworths New Zealand	James Radcliffe	<a href="mailto:PD4GENC@countdown.co.nz">PD4GENC@countdown.co.nz</a>	0800 PD4GENC (0800 734436)
Director of People, Woolworths New Zealand	Ella McInerney	<a href="mailto:PD4GMHR@countdown.co.nz">PD4GMHR@countdown.co.nz</a>	0800 PD4GMHR (0800 734464)

If you have made a protected disclosure of information in New Zealand, then the protections outlined in this policy about Supplier Speak Up reports will apply to you as well as the following additional legal protections:

#### 1. General protections

A Discloser is entitled to protection under the PDA even if:

- they are mistaken and there is no Serious Wrongdoing; or
- they do not indicate that the disclosure is being made under the PDA;
- they technically fail to comply with sections 11 or 14 of the PDA (as long as they have substantially complied); or
- they also make the disclosure to another person, so long as they do so on a confidential basis and for the purposes of seeking advice about whether or how to make a protected disclosure in accordance with the PDA.

Protected disclosures must not contain information that is known to be untrue. Deliberate false reports of information that a supplier knows to be untrue will not qualify for protections under this policy or the PDA. However, if a Discloser has reasonable grounds for believing that Serious Wrongdoing has occurred and the Discloser's concern later turns out to be incorrect, the Discloser will still be entitled to the protections under this policy and under the PDA.

#### 2. Protections against retaliation and victimisation

Disclosers are not to be subject to retaliation or victimisation because the Discloser intends to make or has made a protected disclosure under this Policy and/or the PDA. Where a Discloser experiences retaliation or victimisation upon disclosing a protected disclosure, they may have grounds to raise a personal grievance under the Employment Relations Act 2000 or bring an action under the Human Rights Act 1993 (as applicable). In addition, a Discloser may seek recourse under privacy laws, depending on the circumstances.

Retaliation includes (in relation to an employee) dismissal, demotion, being subject to detriment or disadvantage in circumstances which other employees employed in work of that description would not be

subjected to detriment or disadvantage, or retiring an employee (including requiring or causing the employee to retire or resign), or organising to do any of these things.

Victimisation is where a person receives actual or threatened unfavourable treatment (compared to other persons in the same or substantially similar circumstances) connected with making, intending to make, encouraging, or giving information in support of, a protected disclosure (provided that disclosure is not a knowingly false allegation or otherwise made in bad faith).

### 3. Immunity from civil, criminal and disciplinary proceedings

Where you have made or referred a protected disclosure, the PDA prevents civil, criminal or disciplinary proceedings being taken against you for the reason that you made or referred a Protected Disclosure.

### 4. Confidentiality

The PDA requires protected disclosures to be kept confidential. Every person, to whom a protected disclosure is made or referred, must use their best endeavours not to disclose information that might identify you as Discloser, unless:

- you consent in writing to the disclosure of the information; or
- there are reasonable grounds to believe that disclosure of that information is essential:
  - o for the effective investigation of the Protected Disclosure;
  - o to prevent a serious risk to public health, public safety, the health or safety of any individual or the environment;
  - o to comply with the principles of natural justice; or
  - o for an investigation by a law enforcement or regulatory agency for the purpose of law enforcement.

Any disclosure of identifying information will be managed in accordance with Woolworths' obligations under law, including the PDA and Privacy Act 2020.